



Attorney Docket: 3007/49615
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KIYOTAKA MIURA ET AL.

Serial No.: 09/771,940 Group Art Unit: 2873

Filed: JANUARY 30, 2001 Examiner: Unassigned

Title: THREE-DIMENSIONAL OPTICAL MEMORY MEDIUM
AND PROCESS FOR PRODUCING SAME

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97 and 1.98

Commissioner for Patents
Washington, D.C. 20231

June 5, 2001

Sir:

In accordance with the duty of disclosure under 37 CFR \$1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

STATEMENT OF RELEVANCE

The relevance of all of these references to the subject matter of the present invention is given in the Background and Summary of the Invention in the specification of the present invention.

CONCISE EXPLANATION OF NON-ENGLISH LANGUAGE DOCUMENTS

An English abstract and a U.S. counterpart of Japanese Patent publication No. JP 8-220688 is submitted herewith.

An English abstract summarizing the disclosures of the Japanese Patent publication No. 11-232706 is submitted herewith.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

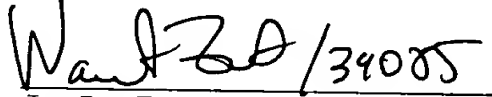
If necessary, the paper should be considered as a petition for consideration of the Information Disclosure

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Statement under 37 C.F.R. §1.97(d)(2) and that the petition fee set forth in 37 C.F.R. §1.17(i) in accordance with 37 C.F.R. §1.97(d)(3) should be charged to Deposit Account No. 05-1323 (Docket 3007/49615).

June 5, 2001

Respectfully submitted,

/34085

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